

City of London School Risk Tracker	Owned By	Phillip Everett	Version	10
	Administered By	Phillip Everett	Date	18/09/14

Risk No.	Risk	Gross Risk		Risk Owner / Lead Officer	Existing Controls	Net Risk			Planned Action	Control Evaluation
		Likelihood	Impact			Likelihood	Impact	Risk Status & Direction		
1	Crisis event	3	4	Second Master	Critical Incident Plan, Influenza Pandemic Contingency Plan and Arson Policy	3	4	A ↑	Continue to review and implement action plans	G
2	Failure to maintain and improve academic standards	3	4	Director of Studies	Review, monitoring and development of staff	1	4	A ↔	Continue to review, monitor and develop staff.	G
3	Major failure of Health and Safety Procedures	3	4	Second Master	Adherence to Health and Safety policies, trips and visits guidance etc.	1	4	A ↔	Continue to review and implement policies	G
4	Major disruption to public transport system.	2	4	Assistant Headmaster	Identification of alternative access routes, IS communication strategies, School Travel Plan etc.	2	3	A ↔	Continue to review alternative access routes and communication strategies.	G
5	Major failure of Child Protection Policies	1	5	Second Master	Adherence to relevant policies and regulations and staff training.	1	4	A ↔	Continue to review and implement policies and comply with regulations.	G
6	Prolonged and widespread economic downturn	3	4	Director of Finance	Proactive marketing, excellent relationships with feeder schools, competitive fee levels etc.	3	2	A ↔	Continue with current strategies which have been very successful in recent years.	G
7	Failure to maintain and operate reliable and efficient IS systems	3	3	Director of Management Information Systems	IS Steering Group provides strategic planning throughout the School. Director of MIS recently appointed.	2	3	A ↔	Continue to develop and implement a whole School IS strategic plan. Apply appropriate security policies etc.	G
8	Inadequate resources and facilities.	3	3	Director of Finance	Robust Financial Procedures and Controls. Effective budgeting and review. School Strategic Plan.	2	3	A ↔	School will contribute constructively to the City's Service Based Review.	G
9	Failure to recruit and retain high quality teaching and support staff.	3	3	Head	Maintenance of attractive terms and conditions, training and regular appraisal.	1	3	G ↔	Appropriate procedures are in place.	G

KEY	1	2	3	4	5	Control Evaluation: R: Existing controls are not satisfactory A: Existing controls require improvement/Mitigating controls identified but not yet implemented fully G: Robust mitigating controls are in place with positive assurance as to their effectiveness
Likelihood	Rare	Unlikely	Possible	Likely	Almost Certain	
Impact	Insignificant	Minor	Moderate	Major	Catastrophic	

*Direction relates to change in assessment since last review (up/down/no change)

City of London School Risk Tracker	Owned By	Phillip Everett	Version	10
	Administered By	Phillip Everett	Date	18/09/14

Risk No.	Risk	Gross Risk		Risk Owner / Lead Officer	Existing Controls	Net Risk			Planned Action	Control Evaluation
		Likelihood	Impact			Likelihood	Impact	Risk Status & Direction		
10	Reduction in funding available for bursaries and scholarships	4	4	Director of Finance	Extensive fundraising for bursaries. Contributing constructively to the City's Service Based Review.	3	3	A ↑	Appointment of a Development Director and approaches to possible new external funders.	G
11	Failure to maintain adequate maintenance and cleaning standards in the School.	3	4	Facilities Manager	School and City Surveyor Staff have worked hard to address short comings in the contractors' performance.	3	3	A ↔	New cleaning contracts should help address problems experienced.	G

KEY	1	2	3	4	5	Control Evaluation: R: Existing controls are not satisfactory A: Existing controls require improvement/Mitigating controls identified but not yet implemented fully G: Robust mitigating controls are in place with positive assurance as to their effectiveness
Likelihood	Rare	Unlikely	Possible	Likely	Almost Certain	
Impact	Insignificant	Minor	Moderate	Major	Catastrophic	

*Direction relates to change in assessment since last review (up/down/no change)